

## Division of Pari – Mutuel Wagering September 17, 2015

### 1. **Accomplishments (September 7, 2015 – September 17, 2015)**

- Office of State Compliance Agency
  - 15 Compact Inspections completed year-to-date (State's FY 2015/2016) of the total 84 inspections permitted annually
  - 144 Compact Hours used year-to-date (State's FY 2015/2016) of the 1,200 hours permitted annually
- Office of Auditing
  - 18 cardrooms were provided operational support
- Office of Investigations
  - 17 Cardroom Inspections Completed
  - 36 Kennel and Barn Inspections Completed
  - 46 Facility Inspections Completed
  - 448 Occupational Licenses Checked
  - 573 Greyhound Vaccinations and Horse Coggins Checked
  - 2 Administrative Complaint packets hand served
- Office of Slot Operations
  - 95 Slot Inspections
  - 2 Incident Reports
  - 8 Warning Letters
  - 1 Reports Closed – No Action
  - 0 Consent Orders
  - 0 Incident Reports Sent to Investigations
- Office of Revenue
  - Nothing to report at this time
- Office of Operations
  - September 9, 2015 the division issued an Administrative Complaint against Pensacola Greyhound Racing, LLP alleging a violation of Rule 61D-6.009(2)(b), Florida Administrative Code, by failing to scratch the racing animal from the race upon notification from the track veterinarian the racing animal was unsound for racing. (Permit number 150).
  - September 9, 2015 the division issued an Administrative Complaint against Gretna Racing, LLC alleging a violation of Rule 61D-11.019(2), Florida Administrative Code, for failure to follow their internal controls once approved by the division. (Permit number 542).
  - September 9, 2015 the division issued an Administrative Complaint against Gretna Racing, LLC alleging a violation of Rule 61D-11.019(2), Florida Administrative Code, by failing to inspect the count room to ensure no chips remain in the room from the previous count. (Permit number 542).
  - September 15, 2015 the division issued a letter of denial to Isle Casino Racing Pompano Park regarding its request to delete 9 performances from October 2015 through June 2016. More specifically, the request was to delete evening performances on May 31, 2016; June 4, 7, 11, 14, 18, 21, 25, & 28, 2016. They also requested to add evening performances on December 2, 9, 16, 2015; January 6, 13, & 20, 2016; February 10, 2016; March 2, & 9, 2016. (Pari-Mutuel permit number 430).

### 2. **Significant Actions from the Past Week (September 9, 2015 – September 17, 2015)**

- Completed Inspections Include:
  - September 9-10 Coconut Creek (SCA-16-0014)
  - September 15-16 Brighton (SCA-16-0015)

**3. Other Actions from the Past Week (September 7, 2015 – September 17, 2015)**

- Nothing to report at this time

**4. Significant Actions for Next Week (September 17, 2015 – September 24, 2015)**

- Nothing to report at this time

**Other Actions for Next Week (September 17, 2015 – September 24, 2015)**

- Nothing to report at this time

**5. Major Future Actions/Projects 11 Days & > (September 26+)**

- Unassigned Cash:
  - FY 2014/2015: 2 Transactions; \$373.75
  - FY 2014/2015: 2 Slot Transactions; \$377.25
  - Total unassigned to date for FY 2014 – 2015: 4 Transactions; \$751.00
  - FY 2015/2016: 12 Transactions; \$836.51
  - FY 2015/2016: 4 Slot Transaction; \$1,253.25
  - Total unassigned to date for FY 2015 – 2016: 16 Transactions; \$2,089.76
  - Outline of plan: Continue to monitor FY 2014/2015 and FY 2015/2016 transactions and assign fees accordingly.
  - **Please note:** the majority of unassigned cash reported for the past week is a reflection of cash batches currently in route from the field offices to Tallahassee for deposit.
- Goals
  - Reduce labor intensive and outdated race day Salix (Furosemide) process for racehorses by updating and streamlining Rule 61D-6.008, Permitted Medications for Horses, Florida Administrative Code
  - Create a statewide training presentation that will be a refresher for existing division staff and also serve as an orientation for new employees to include but not limited to the following: DBPR organizational structure, roles and responsibilities of each office of the division, our regulatory approach, mission and goals, industry overview, application and permitting process, overview of enforcement and Administrative Procedures Act
  - Create detailed flow charts for all current division major business processes in each functional area that will aid in identifying potential increased efficiencies, serve as a resource for new and existing staff, and provide guidance for audits from external entities.

**6. Chiefs and Above Travel Out of Town in Next 30 Days**

- Compact Administrator (Office of State Compliance)
  - September 24-25 Immokalee (SCA-16-0018)
  - September 29-30 Hard Rock Tampa (SCA-16-0019)
  - October 15-16 Brighton (SCA-16-0022)
- Chief (Auditing)
  - Sep. 21-25 South Florida field audit
  - Oct. 5-9 South Florida field audit
- Director (Division of Pari-Mutuel Wagering)
  - Sep. 27-Oct. 2 Global Gaming Expo

**7. Issues/Concerns**

**a. Legislative and EOG**

- Nothing to report at this time

## **b. Licensing**

- 133 Occupational License Applications Received
- 204 Occupational License Applications Issued
- 13 Occupational License Applications Denied
- 0 Waivers Granted

## **c. Legal and Stakeholders Issues**

- Nothing to report at this time

## **d. Personnel Issues (Open Positions, Filled Hires, Position Additions)**

- Positions Office of Investigations
  - Scheduling Interviews: Ft. Lauderdale Investigator
- Positions for Slots Operations
  - Interviews Conducted: Slot Operations Specialist
  - Start Date Sept. 21: Slot Operations Specialist
- Positions for Office of Auditing
  - Par Submitted: Audit Review Analyst
  - Interviews Scheduled: Tax Auditor
- Positions Office of Operations
  - Par Approved: Administrative Assistant II
  - Reclassification: Regional Program Administrator
  - Interviews Conducted: Pari-Mutuel Operations Specialist
- Positions Office of the Director
  - Par Submitted: Administrative Assistant III

## **e. Media**

- Responded to multiple inquiries

## **f. General**

- The Division received one report of positive result from the Racing Laboratory last week:
  - One positive for benzoylecognine (a metabolite of cocaine), a local anesthetic and Class I drug, at Sanford-Orlando Kennel Club.
- **The following warning letters were issued: 8**
  - A facility's security officer allowed an underage patron (15 years old) access to the slot machine gaming area with a fake id. The patron played slot machines.
  - A player's club account was opened for an underage patron with a fake id at a facility. The patron played slot machines.
  - A facility's security officer allowed an underage patron access to the slot machine gaming area with a fake id. Played slot machines.
  - The facility's security officer allowed another underage patron access to the slot machine gaming area with a fake id. The patron played slot machines.
  - The facility's security officer allowed another underage patron access to the slot machine gaming area with a fake id. The patron played slot machines.
  - A slot shift manager at a facility failed to provide a reason for opening a slot machine.
  - A minor gained access to the gaming floor at a facility. The minor did not play slots.
  - An underage guest gained access to the gaming floor at a facility. The guest did not play slot machines.

- **The following Incident Reports were generated: 2**
  - A facility's security officer allowed an underage patron access to the slot machine gaming area with a fake id. The minor did not play slot machines.
  - An underage guest gained access to the gaming area at a facility. The guest did not play slot machines.
- **The following Incident Reports were closed no action: 1**
  - The Division was notified of an employee's change of physical or mailing address within the seven day time frame at a facility.

**g. Revenue**

- Nothing to report at this time

**h. Director's Statement**

- Nothing to report at this time